

5 Questions

To Ask Your Financial Advisor

1

Have I invested in any funds that voted my shares in favor of racial equity audits?

2

Have I invested in any funds that voted my shares in favor of emissions reduction plans or executive compensation tied to environmental and social goals?

3

Have I invested in any funds that systematically underweight companies in any of the following sectors: coal, mining, oil and gas exploration, defense, or firearms?

4

Do you use ESG factors in your external fund evaluation process, internal operations, or client portfolio optimization strategies?

5

If the answer to any of the above questions is yes, can you please inform me of alternative investment options so that I may select funds and portfolios that best align with my own long-term financial interests?

